



United States Department of the Interior

FISH AND WILDLIFE SERVICE
Pacific Southwest Region
2800 Cottage Way, Suite W- 2606
Sacramento, California 95825



In Reply Refer To:
FWS/SFBNWRC PRBO

JUL 21 2014

Point Reyes Bird Observatory
dba Point Blue Conservation Science
Ellie Cohen, President and CEO
3820 Cypress Drive, #11
Petaluma, CA. 94954
DUNS: 119744100

Field Station Copy

Subject: Notice of Cooperative Agreement Award F14AC00237

Dear Ms. Cohen:

Your organization's application for Federal financial assistance titled "Protect, Conserve and Monitor the Farallon Islands' Ecosystem" submitted to the U.S. Fish and Wildlife Service's (USFWS) CFDA Program 15.608 is approved. This award is made under the authority of the Fish and Wildlife Act of 1956, as amended, 16 U.S.C. 742a-742j. For a complete list of this program's authorizing legislation, go to <https://www.cfda.gov/> and search by the CFDA Program number. This award is made based on USFWS approval of your organization's proposal (SF424 Application) signed on 05/14/2014, hereby incorporated by reference into this award. Funds under this award are to be used to provide stewardship with Point Reyes Bird Observatory doing business as Point Blue Conservation Science (Point Blue) at the U.S. Fish and Wildlife Service (Service), Farallon National Wildlife Refuge (Refuge). The objective is to protect, conserve and monitor the Farallon Islands ecosystem including but not limited to birds, mammals, salamanders, insects, other wildlife and plants. This project will benefit the Refuge by maintain facilities on the Farrallon Islands and by collecting data to help guide management actions and promote public awareness:

The Recipient Will:

- Furnish the necessary personnel, equipment and supplies and otherwise perform all things necessary for or incident to assisting the Service on the Farallon National Wildlife Refuge (Refuge) with: managing and promoting public awareness of the Refuge; monitoring of seabirds, marine mammals, and other wildlife and plants; providing routine sea going transportation for staff and supplies.
- Providing protective services for Refuge lands and wildlife to the maximum extent possible.

- Operating, maintaining and improving the facilities including conducting inspections and preventative maintenance in accordance with procedures outlined in the Farallon Operations Handbook and Monthly Maintenance Checklist.
- Furnish monthly phone line/internet communications services that interface with Service-provided communications hardware.
- Provide information and expert opinion to the Service for refuge management purposes.
- Assist the Service with development or review of reports, proposals, plans, environmental compliance documents, or other documents pertaining to the Refuge that are within the scope of Point Blue's expertise.
- Participate in the Refuge Safety Committee.
- Meet periodically with Service personnel to assess progress and to promote exchange of information to assure coordination of this cooperative effort.

The Service Will:

- Take all responsibility for managing the Refuge.
- Provide biologists and maintenance personnel, on an as available basis, necessary to maintain Service facilities and equipment.
- Conduct habitat management activities.
- Conduct biological activities, and other administrative duties.
- Meet periodically with Point Blue to provide technical assistance and review progress related to the cooperative effort.
- Provide basic equipment, including major kitchen appliances, washer, water supply and filter system, and materials and equipment necessary to maintain the Service facilities on Southeast Farallon Island.
- Provide an electrical system necessary for power to the Service facilities, water supply pump, workshop and boat hoist, including all parts, overhauls, oil, fuel, wires, circuit breakers required to maintain the electrical system.
- Provide 2 boats with outboard motors (one each for North and East Landing) for use in accomplishing tasks specified in this Agreement.
- Provide radio and satellite communication system hardware to ensure adequate communications for health and safety of personnel on the island.

Type of Agreement:

- | | |
|---|--|
| <input type="checkbox"/> Grant Agreement | <input type="checkbox"/> Fisheries Program |
| <input checked="" type="checkbox"/> Cooperative Agreement | <input checked="" type="checkbox"/> Refuges Program |
| <input type="checkbox"/> Fire Agreement | <input type="checkbox"/> Ecological Services Program |
| <input type="checkbox"/> Challenge Cost Share | <input type="checkbox"/> Science Applications |
| <input type="checkbox"/> CESU Master Agmt # | <input type="checkbox"/> Migratory Birds |
| <input type="checkbox"/> NRDA | <input type="checkbox"/> National Wetlands Inventory |

Type of Organization:

- | | |
|--|---|
| <input type="checkbox"/> State Government | <input type="checkbox"/> Local Government |
| <input type="checkbox"/> Tribal | <input checked="" type="checkbox"/> Non-Profit Organization |
| <input type="checkbox"/> Higher Education Inst. | <input type="checkbox"/> Private Individual |
| <input type="checkbox"/> For Profit Organization | |

Project Period:

The project period of this award is April 1, 2014 through September 30, 2019. This is the period of Federal sponsorship. Point Blue may invoice for expenses dating back to April 1, 2014. This project will be funded incrementally over time based on availability of funds. The Service will provide funds (Base Funds) to Point Blue annually, or more often if necessary, to accomplish and enhance the public benefits incorporated in this agreement based on the following formula as funds allow: 1) the equivalent annual salary (at the calendar year 2014 local geographic federal base pay) of one GS-7 and one GS-11, Step 1; plus 2) a fixed 20% benefits adjustment; plus 3) a per diem allowance (at \$20/day per person camp rate) for two people for the entire year. Additional funding for other specific projects may be provided as they become available. For each increment of funding, Point Blue will need to submit the following federal forms: 1) SF424 Application for Federal Assistance; and 2) SF424A Budget (Non-construction programs). Also, additional funds for specific projects will require separate scopes of work.

The funding period for the first segment of this project is April 1, 2014 through March 31, 2015. The total amount of USFWS funding available for the first segment of this project is \$173,855.00. Only allowable costs resulting from obligations incurred during this funding period and any authorized pre-award costs that are approved may be charged to this award.

The USFWS may revise this award over time to add new funding for each subsequent project segment. Each project segment will be assigned a specific funding period, during which only allowable costs resulting from obligations incurred during the specified funding period may be charged to this award. All obligations incurred during a funding period must be liquidated within 90 days of the funding period end date. You must submit a written request to the USFWS Project Officer before the end of the stated period if you need more time to liquidate all obligations.

The USFWS's obligation to provide incremental funding up to the total anticipated award amount is contingent on: 1) satisfactory Recipient performance and 2) the availability of funds. No legal liability on the part of the USFWS exists unless and until the USFWS obligates funds and notifies the Recipient in writing that funds are available for the purposes of this award.

Funding:

This award is funded as follows:

	<u>USFWS</u>	<u>Recipient</u>
This obligation:	\$173,855.00	\$305,260.00
Previous obligation:	\$0.00	\$0.00
Award Total:	\$173,855.00	\$305,260.00

Federal Share/Match Requirements: Point Blue will provide in-kind services valued at a 2:1 match. In-kind services include, but are not limited to, additional staff/interns needed for data collection, coordinating transportation and logistics for the island, and activities that result in other public benefits as outlined above. The total annual cost of the project to Point Blue is approximately \$500,000.

System for Award Management (SAM) Registration:

Under the terms and conditions of this award, your organization must maintain an active SAM registration at <https://www.sam.gov/portal/public/SAM/> until the final financial report is submitted or final payment is received, whichever is later. If your organization's SAM registration expires during the

required period, the USFWS will suspend payment under this and all other USFWS awards to your organization until you update your organization's SAM registration.

Terms of Acceptance:

Acceptance of a financial assistance award from the USFWS carries with it the responsibility to be aware of and comply with the terms and conditions applicable to the award. Acceptance is defined as the start of work, drawing down funds, or accepting the award via electronic means. Awards are based on the application submitted to and approved by the USFWS. Awards are subject to the terms and conditions incorporated into the notice of award either by direct citation or by reference to the following: Federal regulations; program legislation or regulation; and special award terms and conditions. The Federal regulations applicable to USFWS awards are listed by recipient type in the **USFWS Financial Assistance Award Terms and Conditions** posted on the Internet at <http://www.fws.gov/grants/>. If you do not have access to the Internet and require a full text copy of the award terms and conditions, contact the USFWS Project Officer.

Payments:

Your organization has completed enrollment in U.S. Treasury's Automated Standard Application for Payment (ASAP) system. When requesting payment in ASAP, your Payment Requestor will be required to enter an Account ID. The Award Number assigned to this award is the partial Account ID in ASAP. When entering the Account ID in ASAP, the Payment Requestor should enter the Award Number identified in the subject line on this Notice of Award followed by a percent sign (%). Refer to the ASAP.gov Help menu for detailed instructions on requesting payments in ASAP. Point Blue is required to manage and invoice separately funds across purposes or programs, including Base Funds (as described in Project Period, above) and other funds for specific projects.

Reporting Requirements:

Financial and Performance Reporting Requirements:

Annual interim financial and performance reports and final financial performance reports are required under this award. The report periods and due dates under this award are:

Report:	Report Period:	Report Due Date:
<u>Interim</u> financial & performance report	April 1, 2014-March 31, 2015	June 29, 2015
<u>Interim</u> financial & performance report	April 1, 2015-March 31, 2016	June 29, 2016
<u>Interim</u> financial & performance report	April 1, 2015-March 31, 2017	June 29, 2017
<u>Interim</u> financial & performance report	April 1, 2017-March 31, 2018	June 29, 2018
<u>Final</u> financial & performance report	April 1, 2018-March 31, 2019	June 29, 2019

Recipients must use the SF 425, *Federal Financial Report* form for all financial reporting. This form is available at http://www.whitehouse.gov/omb/grants_forms/.

Performance reports must contain: 1) a comparison of actual accomplishments with the goals and objectives of the award as detailed in the approved scope of work; 2) a description of reasons why established goals were not met, if appropriate; and 3) any other pertinent information relevant to the

project results. Please include the USFWS award number provided in the subject line of this letter on all reports.

Financial and performance reporting due dates may be extended by the USFWS upon receipt of a written request addressed to the USFWS Project Officer identifying the type of report to be extended, the requested revised due date, and a justification for the extension. The USFWS Project Officer may approve an additional extension if justified by a catastrophe that significantly impairs the recipient's operations. Requests for reporting due date extensions must be received by the USFWS Project Officer before the original reporting due date.

Significant Developments Reports:

Events may occur between the scheduled performance reporting dates that have significant impact upon the supported activity. In such cases, notify the USFWS Project Officer in writing as soon as the following types of conditions become known:

- Problems, delays, or adverse conditions that will materially impair the ability to meet the objective of the Federal award. This disclosure must include a statement of any corrective action(s) taken or contemplated, and any assistance needed to resolve the situation.
- Favorable developments that enable meeting time schedules and objectives sooner or at less cost than anticipated or producing more or different beneficial results than originally planned.

Other Deliverables:

- Monthly Report that includes updates on populations, breeding status for 13 nesting species of seabirds, 5 species of pinnipeds, other breeding birds, and any endangered/threatened species recorded on the South Farallon Islands. For pinnipeds, average and peak monthly adult and pup counts will be included. The monthly reports will also contain information on staffing, visitors, dates and numbers of visitors to the Wilderness Area, weather, bird, marine mammal, salamander or cricket activity of interest, habitat restoration activities, violations, sightseeing boat activity, maintenance, fuel and water usage, and other noteworthy wildlife or human occurrences on or around the Refuge. The April report will contain an estimate of water collection.
- Annual Seabird Report (due December 15 each year) that includes the following information for all seabird breeding species: 1) breeding population estimate (including maps of survey results and correction factor calculations); 2) breeding success (described as average number of chicks/breeding pair, combining first and second attempts; include sample size); 3) other components of breeding success (i.e., average clutch size, brood size, hatching success and fledgling success); 4) dates of last chicks fledged; 5) numbers of chicks and adults banded; and 6) interpretation of results and management recommendations. For species where estimates of population size or breeding size monitoring is problematic (i.e., ash and Leach's storm-petrels, double-crested cormorant, Cassin's and rhinoceros auklets, and tufted puffin), other information such as numbers banded, capture/recapture data, box occupancy rates, index plot data, number of active sites, etc. will be included as appropriate. For common murre and Brandt's cormorants, the report will provide information from each sample plot.
- Annual Pinniped Report (includes April to March, due the following May 1 each year). This report will include northern elephant seals, northern fur seals, Steller sea lions, California sea

lions, harbor seals. The elephant seal section will include breeding activity and provide data on total breeding population size and breeding success. Numbers of breeding females, pups and weaners produced, and pup mortality will be reported for SEFI colonies, and as access/observation conditions allow, for West End colonies. Methods, interpretation of results, management information, and information on West End monitoring (e.g. dates and number of trips and number of animals disturbed) will also be included. Sections for all other species will include breeding activity, timing of breeding, graph of annual population cycle (average and variance), peak number of pups, and discussion of trends relative to historical data.

- Annual Ecosystem Report (due August 15 each year). This report will include but is not limited to landbirds (migrants and owls), white sharks (predation events), salamanders (population index), insects (including cricket population index), and summaries on other wildlife and plant monitoring.

All reports and other deliverables must be sent to the USFWS Project Officer.

Project Plan and Budget Amendments:

Recipients are permitted to re-budget within the approved direct cost budget to meet unanticipated requirements and may make limited program changes to the approved project. However, certain types of post-award changes in budgets and projects shall require the *prior written approval* of the USFWS Project Officer. State, local, or Indian tribal governments must refer to 43 CFR 12.70 for additional information on the types of changes that require prior written approval. Commercial organizations, institutions of higher education, hospitals and other non-profit organizations must refer to 43 CFR 12.925 for additional information on the types of changes that require prior written approval.

Project Period Extensions:

If additional time is needed to complete the approved project, you must send written notice to the USFWS Project Officer. This notice must be received by the USFWS Project Officer at least 10 calendar days before the authorized project period end date, and must include supporting reasons and revised end date. Extensions for time cannot be authorized for the purpose of spending an unused balance of funds that remains after the approved project activities have been completed.

Project Contacts:

The USFWS Project Officer for this award is:

Gerry McChesney
Manager, Farallon National Wildlife Refuge
1 Marshlands Road
Fremont, CA 94555
510-792-0222, ext. 222
gerry_mcchesney@fws.gov

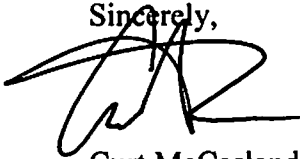
The Recipient Project Officer for this award is:

Ellie Cohen
President and CEO
3820 Cypress Drive, #11
Petaluma, CA 94954
707-781-2555, x 318
ecohen@pointblue.org

Please contact Gerry McChesney with any questions. Please include the USFWS award number provided in the subject line of this letter in all written communications:

Gerry McChesney
Manager, Farallon National Wildlife Refuge
1 Marshlands Road
Fremont, CA 94555
510-792-0222, x 222
gerry_mcchesney@fws.gov

Sincerely,

A handwritten signature in black ink, appearing to be 'Curt McCasland', written over the word 'Sincerely,'.

Curt McCasland
Refuge Supervisor

Attachments:

Scope of Work – Ecosystem Monitoring and Refuge Stewardship

Budget – Ecosystem Monitoring and Refuge Stewardship

Scope of Work and Budget – Cosco Busan Auklet box restoration on Southeast Farallon Island

BUDGET INFORMATION - Non-Construction Programs

OMB Approval No.: 0348-0044

SECTION A - BUDGET SUMMARY

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non - Federal (d)	Federal (e)	Non - Federal (f)	Total (g)
1. Farallon Ecosystem		\$	\$	\$ 173,855.00	\$	\$ 173,855.00
2. matching funds					305,260.00	305,260.00
3.						0.00
4.						0.00
5. Totals		\$ 0.00	\$ 0.00	\$ 173,855.00	\$ 305,260.00	\$ 479,115.00

SECTION B - BUDGET CATEGORIES

6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)
	(1)	(2)	(3)	(4)	
a. Personnel	\$	\$	\$ 124,050.00	\$ 88,770.00	\$ 212,820.00
b. Fringe Benefits			27,202.00	68,776.00	95,978.00
c. Travel			14,600.00		14,600.00
d. Equipment			2,500.00		2,500.00
e. Supplies				29,000.00	29,000.00
f. Contractual					0.00
g. Construction					0.00
h. Other					0.00
i. Total Direct Charge (sum of 6a-6h)	0.00	0.00	168,352.00	186,546.00	354,898.00
j. Indirect Charges			5,503.00	118,714.00	124,217.00
k. TOTALS (sum of 6i and 6j)	\$ 0.00	\$ 0.00	\$ 173,855.00	\$ 305,260.00	\$ 479,115.00

7. Program Income	\$	\$	\$	\$	\$
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SECTION C - NON-FEDERAL RESOURCES

(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS
8.	\$	\$	\$	\$ 0.00
9.				0.00
10.				0.00
11.				0.00
12. TOTAL (sum of lines 8 - 11)	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00

SECTION D - FORCASTED CASH NEEDS

	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal	\$ 0.00	\$	\$	\$	\$
14. NonFederal	0.00				
15. TOTAL (sum of lines 13 and 14)	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00

SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT

(a) Grant Program	FUTURE FUNDING PERIODS (Years)			
	(b) First	(c) Second	(d) Third	(e) Fourth
16.	\$	\$	\$	\$
17.				
18.				
19.				
20. TOTAL (sum of lines 16 - 19)	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00

SECTION F - OTHER BUDGET INFORMATION

21. Direct Charges:	22. Indirect Charges:
23. Remarks:	