



# United States Department of the Interior



FISH AND WILDLIFE SERVICE  
Pacific Southwest Region  
2800 Cottage Way, Suite W- 2606  
Sacramento, California 95825

In Reply Refer To:  
FWS/F14AC00237 Modification 3

Point Reyes Bird Observatory  
dba Point Blue Conservation Science  
Ellie Cohen, President and CEO  
3820 Cypress Drive, #11  
Petaluma, CA. 94954  
DUNS: 119744100

Subject: Notice of Cooperative Agreement Award F14AC00237  
**Modification Number: 3**

Dear Ms. Cohen:

Your organization's request for a modification to Federal financial assistance award titled "Farallon ecosystem monitoring," submitted to the U.S. Fish and Wildlife Service's (USFWS) CFDA Program 15.608 is approved. This award is made under the authority of the Fish and Wildlife Act of 1956, as amended, 16 U.S.C. 742a-742j. For a complete list of this program's authorizing legislation, go to <https://www.cfda.gov/> and search by the CFDA Program number. This award is made based on Service approval of your organization's proposal signed on June 14, 2017, hereby incorporated by reference into this award.

This modification is to provide FY17 funding for project segment 4 of this monitoring and stewardship agreement with Point Reyes Bird Observatory doing business as Point Blue Conservation Science (Point Blue) at the U.S. Fish and Wildlife Service (Service), Farallon Islands National Wildlife Refuge (Refuge). The objective is to protect, conserve and monitor the Farallon Islands ecosystem including but not limited to birds, mammals, salamanders, insects, other wildlife and plants and to assist with Refuge stewardship.

**Type of Agreement:**

- ☐ Grant Agreement  
☒ Cooperative Agreement  
☐ Fire Agreement  
☐ Challenge Cost Share  
☐ CESU Master Agmt # \_\_\_\_\_  
☐ NRDA

**Program:**

- ☐ Fisheries Program  
☒ Refuges Program  
☐ Ecological Services Program  
☐ Science Applications  
☐ Migratory Birds  
☐ National Wetlands Inventory

**Type of Organization:**

☐ State Government  
☐ Tribal  
☒ Higher Education Inst.

☐ Local Government  
☐ Non-Profit Organization  
☐ For Profit Organization  
☐ Private Individual

**SAM Registration expires:** March 23, 2018

**Period of Performance**

The overall performance period of this award is April 1, 2014 through March 31, 2019. This is the period of Federal sponsorship. This project will be funded incrementally over time. Based on availability of funds, the Service will provide funds to Point Blue annually, or more often if necessary, to accomplish and enhance the public benefits incorporated in this agreement. Biological monitoring tasks include, but are not limited to, monitoring of seabird breeding population sizes and reproductive success, marine mammal population sizes and pup surveys, salamander distribution and abundance, cricket distribution and abundance, burrowing owl abundance, and seabird depredations. Stewardship tasks include Refuge violation surveillance and reporting, conducting regularly scheduled inspections and maintenance of Refuge equipment and facilities, and assistance with Service staff and visitor access to the Refuge. For each increment of funding, Point Blue will need to be up to date on all reporting requirements and submit the most current versions of the following federal forms: 1) SF424 Application for Federal Assistance; and 2) SF424A Budget (Non-construction programs).

The funding period for **this segment** of this project is April 1 through November 30, 2017. The total amount of USFWS funding available for this segment of this project is \$91,602. Only allowable costs resulting from obligations incurred during this funding period may be charged to this award.

The Service may revise this award over time to add new funding for each subsequent project segment. Each project segment will be assigned a specific funding period, during which only allowable costs resulting from obligations incurred during the specified funding period may be charged to this award.

**All obligations incurred under the award must be liquidated no later than 90 calendar days after the end of the performance period, unless the Service approves a final financial reporting period extension (see Reporting Requirements section below).** If you need more time to complete project activities, you must submit a written request to the Service Project Officer identified in the Project Contacts section below 30 days before the end of the stated performance period (see Project/Program Plan and Budget Revisions section below).

The Service's obligation to provide incremental funding up to the total anticipated award amount is contingent on: 1) satisfactory Recipient performance and 2) the availability of funds. No legal liability on the part of the Service exists unless and until the Service obligates funds and notifies the Recipient in writing that funds are available for the purposes of this award.

**Funding:**

This award is funded as follows:

|                      | <u>USFWS</u>        | <u>Recipient</u>    |
|----------------------|---------------------|---------------------|
| This obligation:     | \$91,680.00         | \$183,204.00        |
| Previous obligation: | <u>\$591,365.00</u> | <u>\$915,780.00</u> |

Cumulative Total:

\$682,967.00

\$1,098,984.00

**System for Award Management (SAM) Registration:** Under the terms and conditions of this award, your organization must maintain an active SAM registration at <https://www.sam.gov/portal/public/SAM/> until the final financial report is submitted or final payment is received, whichever is later. If your organization's SAM registration expires during the required period, the Service will suspend payment under this and all other Service awards to your organization until you update your organization's SAM registration.

**Reporting Requirements:**

**Financial and Performance Reporting Requirements:**

Annual interim financial and performance reports and final financial and performance reports are required under this award. The report periods and due dates under this award are:

| <b>Report:</b>                                       | <b>Report Period:</b>        | <b>Report Due Date:</b> |
|--|------------------------------|-------------------------|
| <b><u>Interim</u></b> financial & performance report | April 1, 2014-March 31, 2015 | June 29, 2015           |
| <b><u>Interim</u></b> financial & performance report | April 1, 2015-March 31, 2016 | June 29, 2016           |
| <b><u>Interim</u></b> financial & performance report | April 1, 2016-March 31, 2017 | June 29, 2017           |
| <b><u>Interim</u></b> financial & performance report | April 1, 2017-March 31, 2018 | June 29, 2018           |
| <b><u>Final</u></b> financial & performance report   | April 1, 2018-March 31, 2019 | June 29, 2019           |

Recipients must use the Standard Form (SF) 425, *Federal Financial Report* form for all financial reporting. This form is available at <http://www.grants.gov/web/grants/forms/post-award-reporting-forms.html#sortBy=1>.

Performance reports must contain: 1) a comparison of actual accomplishments with the goals and objectives of the award as detailed in the approved scope of work; 2) a description of reasons why established goals were not met, if appropriate; and 3) any other pertinent information relevant to the project results. Please include the Service award number provided in the subject line of this letter on all reports.

Financial and performance reporting due dates may be extended by the Service upon receipt of a written request addressed to the Service Project Officer identifying the type of report to be extended, the requested revised due date, and a justification for the extension. The Service Project Officer may approve an additional extension if justified by a catastrophe that significantly impairs the recipient's operations. Requests for reporting due date extensions must be received by the Service Project Officer no later than one day before the original reporting due date.

**Significant Developments Reports (see 2 CFR 200.328(d)):**

Events may occur between the scheduled performance reporting dates that have significant impact upon the supported activity. In such cases, notify the Service Project Officer in writing as soon as the following types of conditions become known:

- Problems, delays, or adverse conditions that will materially impair the ability to meet the objective of the Federal award. This disclosure must include a statement of any corrective action(s) taken or contemplated, and any assistance needed to resolve the situation.
- Favorable developments that enable meeting time schedules and objectives sooner or at less cost than anticipated or producing more or different beneficial results than originally planned.

**Conflict of Interest Disclosures:**

Recipients are responsible for notifying the Service Project Officer in writing of any actual or potential conflicts of interest that may arise during the life of this award. Conflicts of interest include any relationship or matter which might place the Recipient, the Recipient's employees, or the Recipient's subrecipients in a position of conflict, real or apparent, between their responsibilities under this award and any other outside interests. Conflicts of interest may also include, but are not limited to, direct or indirect financial interests, close personal relationships, positions of trust in outside organizations, consideration of future employment arrangements with a different organization, or decision-making affecting the award that would cause a reasonable person with knowledge of the relevant facts to question the impartiality of the Recipient, the Recipient's employees, or the Recipient's subrecipients in the matter. Upon receipt of such a notice, the Service Project Officer in consultation with their Ethics Counselor will determine if a conflict of interest exists and, if so, if there are any possible actions to be taken by the Recipient, the Recipient's employee(s), or the Recipient's subrecipient(s) that could reduce or resolve the conflict. Failure to resolve conflicts of interest in a manner that satisfies the Service may result in any of the remedies described in 2 CFR 200.338, Remedies for Noncompliance, including termination of this award.

**Other Mandatory Disclosures:**

Recipients and their subrecipients must disclose, in a timely manner, in writing to the Service or pass-through entity all violations of Federal criminal law involving fraud, bribery, or gratuity violations potentially affecting this award. Non-Federal entities that have received a Federal award including the term and condition outlined in 2 CFR 200, Appendix XII—Award Term and Condition for Recipient Integrity and Performance Matters are required to report certain civil, criminal, or administrative proceedings to SAM. Failure to make required disclosures can result in any of the remedies described in 2 CFR 200.338, Remedies for noncompliance, including suspension or debarment (See 2 CFR 200.113, 2 CFR Part 180, 31 U.S.C. 3321, and 41 U.S.C. 2313).

**Other Reports or Deliverables:**

Required annual reports were described in the original agreement. Additional reports for Segment 3 of this project have been described in attached Scopes of Work (Attachments 1 through 4). All reports must be sent to the Farallon National Wildlife Refuge Manager.

**Project/Program Plan and Budget Revisions:**

Recipients are required to report deviations from budget or project scope or objective, and request prior approvals for budget and program plan revisions in accordance with 2 CFR 200.308 unless otherwise specifically waived in this award.

**Project Contacts:**

| <b>The Service Project Officer for this award is:</b>  | <b>The Recipient Project Officer for this award is:</b>  |
|--|--|
| Anne Morkill<br>Refuge Complex Manager<br>San Francisco Bay NWRC<br>1 Marshlands Road<br>Fremont, CA 94555<br>510-792-0222, ext. 123<br>anne_morkill@fws.gov | Ellie Cohen<br>President and CEO<br>3820 Cypress Drive, #11<br>Petaluma, CA 94954<br>707-781-2555, x 318<br>ecohen@pointblue.org |

Please contact Gerry McChesney, Farallon Islands National Wildlife Refuge Manager, at (510) 792-0222 ext. 222 with any questions. Please include the USFWS award number provided in the subject line of this letter in all written communications:

Sincerely,

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Polly Wheeler, PhD.  
Assistant Regional Director  
National Wildlife Refuge System

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Date

Attachments:  
Budget